

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John R. Liddicoat et al.  
Serial No.: 10/068,700  
Filing Date: 02/05/2002  
Title: APPARATUS AND METHOD FOR  
REDUCING MITRAL REGURGITATION  
Group Art Unit: 3738  
Examiner: Urmi Chattopadhyay  
Attorney's Docket No.: VIA-16

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

05/03/2004 SERVICE 000000 Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit documents  
01 FC:1066 and information which may be considered material to the examination of this  
application. These documents and information are listed on the accompanying form.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of the Form PTO/SB/08A (substitute for Form PTO-1449) (one page), submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

*Please charge Deposit Account No. 16-0221 in the amount of One Hundred Eighty Dollars (\$180.00) to cover the fee due in connection with this submission.*

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Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221.

Thank you.

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3318 ON:

April 28, 2004

(DATE OF DEPOSIT)

James A. Sheridan

(NAME OF ATTORNEY)

James A. Sheridan 4/28/04

(SIGNATURE)

April 28, 2004

(DATE OF SIGNATURE)

Respectfully submitted,

*James A. Sheridan 4/28/04*

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PTO/SB/08A (10-96)

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Substitution for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/068,700
				Filing Date	02/05/2002
				First Named Inventor	John R. Liddicoat et al.
				Group Art Unit	3738
				Examiner Name	Urmi Chattopadhyay
				Attorney Docket Number	VIA-16
Sheet	1	of	1		

[illegible][illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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